



#### PATENT APPLICATION

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Docket No: Q79253

Hideki MORIKAKU, et al.

Appln. No.: 10/749,382 Group Art Unit: 2834

Confirmation No.: 9135 Examiner: NOT YET ASSIGNED

Filed: January 2, 2003

For: STATOR OF ELECTRIC ROTATING MACHINE

STATEMENT UNDER 37 CFR § 1.97(e)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

SUGHRUE MION, PLLC Telephone: (202) 293-7060

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washington office 23373
customer number

Date: December 20, 2004

Richard C. Turner

Registration No. 29,710



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# <u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 C.F.R. §§ 1.97 and 1.98</u>

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents, other than any U.S. patents and patent publications, is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/749,382

However, since a statement can be made, a Statement is being filed so that in the event

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an Office action has issued but has not yet been received by the undersigned, the Examiner will

proceed to consider this Information Disclosure Statement.

In compliance with the concise explanation requirement under 37 C.F.R. §1.98(a)(3)

for foreign language documents, Applicant advises that the submitted documents were cited

in an Office Action of the German Patent Office dated September 30, 2004. A copy of the

German Office Action is submitted herewith with an English translation. An English-

language (translation or Abstract) of the relevant portions for each cited prior art is attached.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does

not waive any right to take any action that would be appropriate to antedate or otherwise

remove any listed document as a competent reference against the claims of the present

application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Registration No. 29,710

Richard C. Turner

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WASHINGTON OFFICE

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CUSTOMER NUMBER

Date: December 20, 2004

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Complete if Known				
Application Number	10-749,382			
Confirmation Number	9135			
Filing Date	January 2, 2003			
First Named Inventor	Hideki MORIKAKU			
Art Unit	2834			
Examiner Name	NOT YET ASSIGNED			
Attorney Docket Number	Q79253			

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number		Dublication Date	
		Number	Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US 3,464,106		09/02/1969	E.L. Barrett et al
		US			

FOREIGN PATENT DOCUMENTS							
Examiner Cite No. 1	Foreign Patent Document			Publication Date	Name of Patentee or		
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>
		JP	2000-308314	A	11/02/2000		Abstract
		WO	94/02986	Al	03/02/1994		
		EP	0 609 033	A1	08/03/1994		
		EP	0 961 386	Al	12/01/1999		
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NON PATENT LITERATURE DOCUMENTS				
		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>	

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Examiner Signature	Date Considered	
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.